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FORM PTO-14	449		Docket Number (Op 294G 1787		Application 10/	lumber 027,726	
INFO	RMATION <del>DISC</del> LOSURE C IN AN APPLICATION	ITATION	Applicant	Colbe	rt et al.		
	(Use several sheets if necessar	y)	Filing Date 12/21/200	1	Group Art Un	nit 1754	
		U.S. PATENT	DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
PL	JP H06-331309	12/2/1994	Japan			Yes	
PL	JP H06-252056	9/9/1994	Japan			Yes	
FL	JP H07-122198	5/12/1995	Japan			Yes	
	OTHER DOCUME	NTS (Including Aut	thor, Title, Date, Pertin	ent Pages, I	Etc.)		
PL	"Imaging steep, high structures by scanning force microscopy with electron beam deposited tips" by David J. Keller, et al., pages 333-339 in the Surface Science magazine published by Elsevier Science Publishers B.V. in 1992 in Holland						
PL	"New Scanning tunneling microscopy tip for measuring surface topography" by Y. Akama, et al., pages 429-433 in <i>Vac. Sci. Technol.</i> A 8 published by American Vacuum Society in 1990						
PL	"Atomic force microscopy using ZnO whisker tip" by H. Kado, et al., pages 3330-3332 in <i>Rev. Sci. Instrum</i> 63 published by American Institute of Physics in 1992						
PL	"Helical microtubules of graphitic carbon" by Sumio lijima, pages 56-58 in the Nature magazine Vo. 354 published in 1991						
PL	"Single-shell carbon nanotubes of 1-nm diameter" by Sumio lijima et al., pages 603-605 in the <i>Nature</i> magazine Vo. 363 published in 1993						
PL	"Aligned Carbon Nanotube Arrays Formed by Cutting a Polymer Resin-Nanotube Composite" by P.M Ajaya, et al., pages 1212-1214 in the SCIENCE magazine Vo. 265 published in 1994						
PL	"Aligned Carbon Nanotube Films: Production and Optical and Electronic Properties" by Walt A. de Heer, et al., pages 845-847 in the SCIENCE magazine Vo. 268 published in 1995						
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EXAMINER	Milli	DATE CONSIDER	RED 6/20/05			-	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of Sheet

Con	mplet if Known
Application Number	10/027,726
Filing Date	December 21, 2001
First Named Inventor	Colbert, et al.
Art Unit	2881
Examiner Name	Unknown
Attorney Docket Number	11321-P011C1D8

			U.S. PATE	NT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PL		us- 5,698,175	12-16-1997	Hiura, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. 1	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 6 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	76
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1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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C mplet if Known Substitute for form 1449B/PTO 10/027,726 **Application Number** INFORMATION DISCLOSURE December 21, 2001 Filing Date STATEMENT BY APPLICANT First Named Inventor Colbert, et al. **Group Art Unit** 2881 Unknown (use as many sheets as necessary) Examiner Name Attorney Docket Number 11321-P011C1D8 of 2 Sheet

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т			
PL		Ajayan, et al., "Opening carbon nanotubes with oxygen and implications for filling", Nature, Vol. 362 (April 8, 1993), pp.522-525				
PL		Canadian Search Report dated October 11, 2002 for Canadian Application No. 2,283,502				
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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